



Belastingdienst

Manual CBANL

Specification Processing Report

Version 2.0 - 20210622



Table of contents

1	CBANL Processing Report—3
1.1	Reading guide—3
1.2	Processing report—3
1.3	Processing stages—3
1.3.1	Stage 1—3
1.3.2	Stage 2—3
2	Specification Processing Report file—4
2.1	Specification of data groups and elements—4
2.2	Root element—5
2.3	Header—5
2.4	Acknowledgement Notification—6
2.5	Rejection Notification—9

1 CBANL Processing Report

1.1 Reading guide

This part of the “manual CBANL” covers the description of the “CBANL Processing Report”.

1.2 Processing report

The processing report is a report to give insight in the status of the processed datasets as submitted to the “Netherlands Tax and Customs Administration” (NTCA). This report can be downloaded in XML-format.

1.3 Processing stages

A CBANL dataset provided to the NTCA will be processed in several stages. The CBANL Processing Report provides the end results of stage 1 or 2.

1.3.1 Stage 1

In Stage 1 the functional process at the NTCA is executed. The [NL] Business Rules are checked during this stage.

Resulting statuses:

- ‘Stage 1 approved’: the technical correct dataset has no [NL] business rules violations for any of the delivered arrangements in the dataset. ArrangementID’s and DisclosureID’s are issued, when applicable.
- ‘Stage 1 rejected’: the technical correct dataset has [NL] business rules violations. A new dataset should be submitted.
- ‘Stage 1 pending’: the technical correct dataset has no [NL] business rules violations for the delivered arrangement in the dataset. The dataset contains a request for deletion which must be assessed by the NTCA. The status will remain pending until a decision has been made.

1.3.2 Stage 2

In Stage 2 the request for deletion will be assessed by the NTCA and reported back on.

Resulting statuses:

- ‘Stage 2 approved’: the request for deletion has been approved by the NTCA and has been processed in the Central Directory.
- ‘Stage 2 rejected’: the request for deletion has been denied by the NTCA.

2 Specification Processing Report file

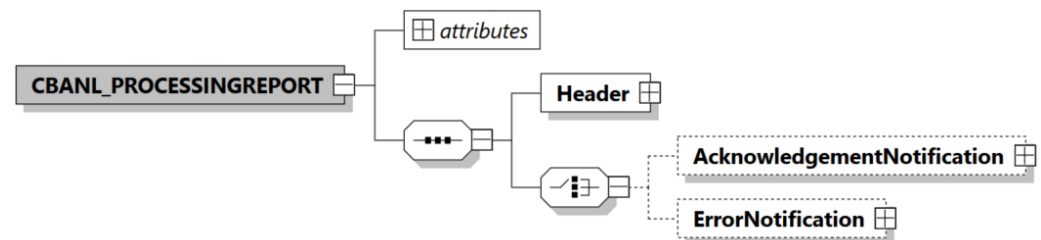
This chapter describes the structure and meaning of the “CBANL Processing Report in XML-format” (CBANL_PROCESSINGREPORT) in terms of the mandatory order of the elements, the names of the elements, the character types, lengths and domains of the elements and which elements are technically mandatory or optional.

2.1 Specification of data groups and elements

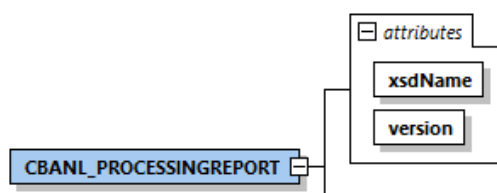
This paragraph contains a description of the data groups and data elements of the XML file.

Data element/ Data group	The name of the element/a characterisation of an element with underlying elements
Xpath (XML)	The path/place of this element or group relative to the structure of the data file.
Reference group	The element points to a set of reference elements.
Description	A definition of the element or group.
Attribute	Additional information regarding the information in the element
Conditions	Conditions which must be met by the content of the element.
Explanation	An additional explanation of the element or group.
Cardinality	Indicates how often an element or group can or must occur. 0..1 means an element can (optional) occur, but only once (min 0, max 1) 1..n means an element must (mandatory) occur, and can do so infinite times (min 1, max ∞).
Format	The format which must be met by the filed data.
Domain	If there is a limitation on the range of an element value, this is set out in the domain.

The data groups are included in the order in which they occur in the file.

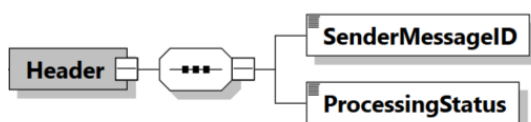


2.2 Root element



Data group	CBANL_PROCESSINGREPORT	
Xpath (XML)	CBANL_PROCESSINGREPORT	
Description	Indication of this message schema according to the Processing Report of CBA reporting.	
Namespace	[XSD] http://xml.belastingdienst.nl/schemas/CBANL/PROCESSINGREPORT/2.0	
Conditions	[XSD] [CBANL_PROCESSINGREPORT] must have [AcknowledgeNotification] or [RejectionNotification].	
Explanation	-	
Attribute	xsdName	
	Description	Name of the XML schema
	Conditions	-
	Explanation	-
	Cardinality	[XSD] 1..1
	Format	-
	Domain	[XSD] PROCESSINGREPORT
Attribute	version	
	Description	Version of the XML schema
	Conditions	-
	Explanation	-
	Cardinality	[XSD] 1..1
	Format	-
	Domain	[XSD] 2.0
Cardinality	[XSD] 1..1	

2.3 Header

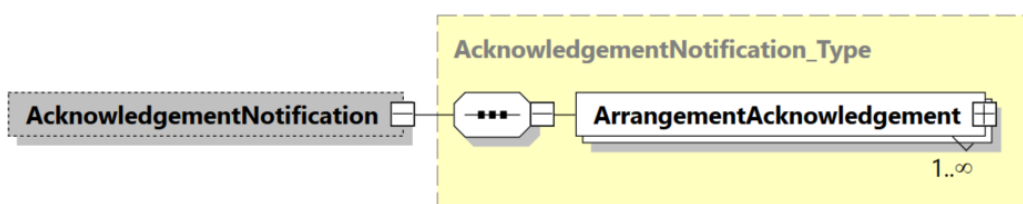


Data group	Header
Xpath (XML)	CBANL_PROCESSINGREPORT/Header
Description	Header contains identification and processing information of the dataset.
Conditions	-
Explanation	-
Cardinality	[XSD] 1..1

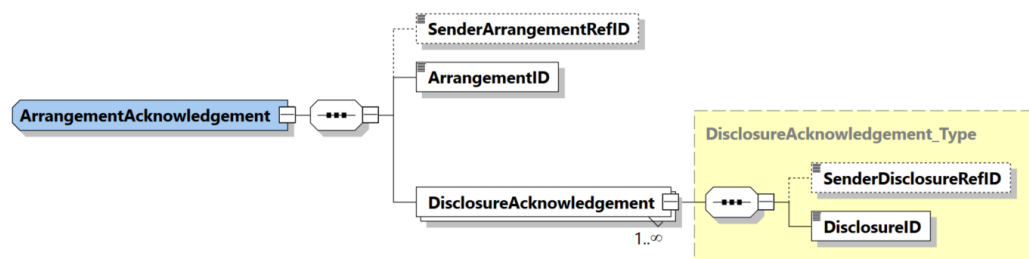
Data element	SenderMessageID
Xpath (XML)	CBANL_PROCESSINGREPORT/Header/SenderMessageID
Description	Identification number that the sending entity allocated to the message for the purpose of identification.
Conditions	-
Explanation	-
Cardinality	[XSD] 1..1
Format	[XSD] an1..25
Domain	[XSD] Only characters [0-9], [A-Z]

Data element	ProcessingStatus
Xpath (XML)	CBANL_PROCESSINGREPORT/Header/ProcessingStatus
Description	Description of the processing status of the dataset.
Conditions	-
Explanation	<p>ST1A = 'Stage 1 approved': the dataset is registered at the NTCA and has no [NL] business rules violations.</p> <p>ST1R = 'Stage 1 rejected': The dataset is registered at the NTCA and has [NL] business rules violations.</p> <p>ST1P = 'Stage 1 request for deletion pending': the dataset is registered at the NTCA and has no [NL] business rules violations. The request for deletion is being assessed by the NTCA.</p> <p>ST2A = 'Stage 2 request for deletion approved': the dataset is registered at the NTCA and has no [NL] business rules violations. The request for deletion has been approved by the NTCA and has been processed by the Central Directory.</p> <p>ST2R = 'Stage 2 request for deletion rejected': the dataset is registered at the NTCA and has no [NL] business rules violations. The request for deletion has been rejected by the NTCA.</p>
Cardinality	[XSD] 1..1
Format	[XSD] an
Domain	[XSD] ST1A, ST1R, ST1P, ST2A, ST2R

2.4 Acknowledgement Notification



Data group	AcknowledgmentNotification
Xpath (XML)	CBANL_PROCESSINGREPORT/AcknowledgementNotification
Description	Data group for the purpose of reporting back issued ArrangementID's and DisclosureID's.
Conditions	-
Explanation	-
Cardinality	[XSD] 0..1



Data group	ArrangementAcknowledgement
Xpath (XML)	CBANL_PROCESSINGREPORT/AcknowledgementNotification/ArrangementAcknowledgement
Description	The Acknowledgement of a single Arrangement.
Conditions	-
Explanation	-
Cardinality	[XSD] 1..n

Data element	SenderArrangementRefID
Xpath (XML)	CBANL_PROCESSINGREPORT/AcknowledgementNotification/ArrangementAcknowledgement/SenderArrangementRefID
Description	ID for a CBA created by the sender.
Conditions	-
Explanation	-
Cardinality	[XSD] 0..1
Format	[XSD] an1..25
Domain	[XSD] Only characters [0-9], [A-Z]

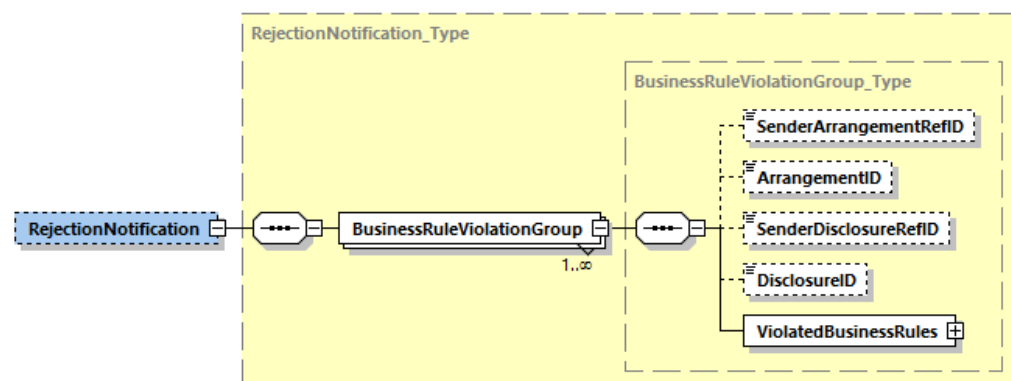
Data element	ArrangementID
Xpath (XML)	CBANL_PROCESSINGREPORT/AcknowledgementNotification/ArrangementAcknowledgement/ArrangementID
Description	<p>ID for a CBA. The ArrangementID is issued by the Member State sending a Disclosure related to an Arrangement to the Central Directory.</p> <p>An ArrangementID is structured as "CCAYYYMMDDXXXXXX" where:</p> <ul style="list-style-type: none"> Position 01-02 (CC) stands for the country code of the country which issued the ID Position 03-03 (A) stands for Arrangement Position 04-11 (YYMMDD) stands for the date the ID is issued Position 12-17 (XXXXXX) stands for a string making the ID unique
Conditions	-
Explanation	-
Cardinality	[XSD] 1..1
Format	[XSD] an17
Domain	<p>[XSD] [ArrangementID] follows the pattern "CCAYYYMMDDXXXXXX" where:</p> <ul style="list-style-type: none"> C is a character within [A-Z] Third position is a character A YYMMDD contains the date of issue of the ArrangementID X is a character within [0-9], [A-Z]

Data group	DisclosureAcknowledgement
Xpath (XML)	CBANL_PROCESSINGREPORT/AcknowledgementNotification/DisclosureAcknowledgement
Description	Acknowledgement of a single Disclosure of an Arrangement.
Conditions	-
Explanation	-
Cardinality	[XSD] 1..n

Data element	SenderDisclosureRefID
Xpath (XML)	CBANL_PROCESSINGREPORT/AcknowledgementNotification/DisclosureAcknowledgement /SenderDisclosureRefID
Description	ID for a CBA created by the sender. ID for a reportable CBA for the sender to couple the ArrangementID in the administration of the Sender.
Conditions	-
Explanation	-
Cardinality	[XSD] 0..1
Format	[XSD] an1..25
Domain	[XSD] Only characters [0-9], [A-Z]

Data element	DisclosureID
Xpath (XML)	CBANL_PROCESSINGREPORT/AcknowledgementNotification/DisclosureAcknowledgement/DisclosureID
Description	The ID which identifies the disclosure according to the DAC6 specifications. A DisclosureID is structured as "CCDYYYYMMDDXXXXXX" where: <ul style="list-style-type: none"> Position 01-02 (CC) stands for the country code of the country which issued the ID Position 03-03 (D) stands for Disclosure Position 04-11 (YYYYMMDD) stands for the date the ID was issued Position 12-17 (XXXXXX) stands for a string making the ID unique
Conditions	-
Explanation	-
Cardinality	[XSD] 1..1
Format	[XSD] an17
Domain	[XSD] [DisclosureID] follows the pattern "CCAYYYMMDDXXXXXX" where: <ul style="list-style-type: none"> C is a character within [A-Z] Third position is a character D YYYYMMDD contains the date of issue of the DisclosureID X is a character within [0-9], [A-Z]

2.5 Rejection Notification



Data group	RejectionNotification
Xpath (XML)	CBANL_PROCESSINGREPORT/RejectionNotification
Description	Summary of business rules violated in case of rejection.
Conditions	-
Explanation	-
Cardinality	[XSD] 0..1

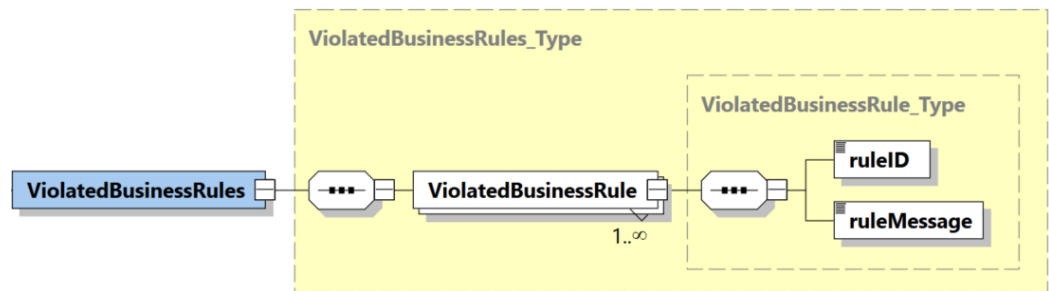
Data group	BusinessRuleViolationGroup
Xpath (XML)	CBANL_PROCESSINGREPORT/RejectionNotification /BusinessRuleViolationGroup
Description	Summary of business rules violated in case of rejection.
Conditions	-
Explanation	-
Cardinality	[XSD] 1..n

Data element	SenderArrangementRefID
Xpath (XML)	CBANL_PROCESSINGREPORT/RejectionNotification/BusinessRuleViolationGroup/SenderArrangementRefID
Description	ID for a CBA created by the sender. ID for a reportable CBA for the sender to couple the ArrangementID in the administration of the Sender.
Conditions	-
Explanation	-
Cardinality	[XSD] 0..1
Format	[XSD] an1..25
Domain	[XSD] Only characters [0-9], [A-Z]

Data element	ArrangementID
Xpath (XML)	CBANL_PROCESSINGREPORT/RejectionNotification/BusinessRuleViolationGroup/ArrangementID
Description	<p>ID for a CBA. The ArrangementID is issued by the Member State sending a Disclosure related to an Arrangement to the Central Directory.</p> <p>An ArrangementID is structured as "CCAYYYMMDDXXXXXX" where:</p> <ul style="list-style-type: none"> Position 01-02 (CC) stands for the country code of the country which issued the ID Position 03-03 (A) stands for Arrangement Position 04-11 (YYMMDD) stands for the date the ID is issued Position 12-17 (XXXXXX) stands for a string making the ID unique
Conditions	-
Explanation	-
Cardinality	[XSD] 0..1
Format	[XSD] an17
Domain	<p>[XSD] [ArrangementID] follows the pattern "CCAYYYMMDDXXXXXX" where:</p> <ul style="list-style-type: none"> C is a character within [A-Z] Third position is a character A YYMMDD contains the date of issue of the ArrangementID X is a character within [0-9], [A-Z]

Data element	SenderDisclosureRefID
Xpath (XML)	CBANL_PROCESSINGREPORT/RejectionNotification/BusinessRuleViolationGroup/SenderDisclosureRefID
Description	The ID which identifies the disclosure according to the administration of the sender.
Conditions	-
Explanation	-
Cardinality	[XSD] 0..1
Format	[XSD] an1..25
Domain	[XSD] Only characters [0-9], [A-Z]

Data element	DisclosureID
Xpath (XML)	CBANL_PROCESSINGREPORT/RejectionNotification/BusinessRuleViolationGroup/DisclosureID
Description	<p>The DisclosureID is issued by the Member State. It identifies the disclosure according to the DAC6 specifications.</p> <p>A DisclosureID is structured as "CCDYMMDDXXXXXX" where:</p> <ul style="list-style-type: none"> Position 01-02 (CC) stands for the country code of the country which issued the ID Position 03-03 (D) stands for Disclosure Position 04-11 (YYMMDD) stands for the date the ID was issued Position 12-17 (XXXXXX) stands for a string making the ID unique
Conditions	-
Explanation	-
Cardinality	[XSD] 0..1
Format	[XSD] an17
Domain	<p>[XSD] [DisclosureID] follows the pattern "CCDYMMDDXXXXXX" where:</p> <ul style="list-style-type: none"> C is a character within [A-Z] Third position must be the character D YYMMDD contains the date of issue of the DisclosureID X is a character within [0-9], [A-Z]



Data group	ViolatedBusinessRules
Xpath (XML)	CBANL_PROCESSINGREPORT/RejectionNotification/BusinessRuleViolationGroup/ViolatedBusinessRules
Description	Summary of violated business rules
Conditions	-
Explanation	-
Cardinality	[XSD] 1..1

Data group	ViolatedBusinessRule
Xpath (XML)	CBANL_PROCESSINGREPORT/RejectionNotification/BusinessRuleViolationGroup/ViolatedBusinessRules/ViolatedBusinessRule
Description	Specification of the violated business rule.
Conditions	-
Explanation	-
Cardinality	[XSD] 1..n

Data element	RuleID
Xpath (XML)	CBANL_PROCESSINGREPORT/RejectionNotification/BusinessRuleViolationGroup/ViolatedBusinessRules/ViolatedBusinessRule/RuleID
Description	RuleID of the violated business rule.
Conditions	-
Explanation	-
Cardinality	[XSD] 1..1
Format	[XSD] an4
Domain	[XSD] Only characters [0-9]

Data element	RuleMessage
Xpath (XML)	CBANL_PROCESSINGREPORT/RejectionNotification/BusinessRuleViolationGroup/ViolatedBusinessRules/ViolatedBusinessRule/RuleMessage
Description	Description of the violated business rule.
Conditions	-
Explanation	-
Cardinality	[XSD] 1..1
Format	[XSD] an1..200
Domain	-